

# City Bar Center for CLE

*The 6<sup>th</sup> Annual*

## SECURITIES LITIGATION & ENFORCEMENT INSTITUTE

Wednesday | March 14, 2018 | 9:00 am - 6:00 pm  
*Networking Luncheon & Reception Included*

### INSTITUTE CO-CHAIRS



**BRAD S. KARP**

Paul, Weiss, Rifkind, Wharton &  
Garrison LLP



**GREGORY A. MARKEL**

Seyfarth Shaw LLP

### KEYNOTE SPEAKER



**PROFESSOR JOHN C. COFFEE, JR.**

Adolf A. Berle Professor of Law  
Director of the Center on Corporate Governance  
Columbia Law School

42 West 44th Street  
New York, NY 10036-6604  
[www.nycbar.org/cle](http://www.nycbar.org/cle)



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In the past year, there have been numerous, significant developments in securities litigation and enforcement. While lawsuits arising from the credit crisis continue to be on the wane, a new wave of traditional securities class actions has replaced them. The courts in which cases have been filed has shifted. The types of M&A cases that are being filed has also shifted. At the same time, financial institutions are being confronted with an increasing number of investigations and enforcement actions conducted by federal, state, and foreign regulators. Audit firms are facing investigations by the PCAOB and SEC. Finally, the courts continue to issue decisions that substantially affect the strategies to be employed in prosecuting and defending securities and derivative litigation. As these lawsuits, investigations and enforcement actions continue apace, it is critical that securities litigators and enforcement lawyers keep up with the latest developments.

This full-day program will provide a comprehensive overview of recent trends, developments and cutting-edge issues in securities litigation and enforcement. The panels will include prominent securities litigators, senior in-house counsel at major financial institutions and corporations, nationally recognized trial lawyers, jury consultants, and economists.

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**SPONSORING ASSOCIATION COMMITTEES:**

Securities Litigation | Daniel H.R. Laguardia, Chair  
White Collar Crime | Michael S. Schachter, Chair

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**NY CLE Credit:** 8.0 professional practice

**Live Program:** \$599 Member | \$699 Nonmember

**In-House Counsel:** \$349 Member | \$449 Nonmember

**Gov't/Nonprofit/Academic:** \$249 Member | \$349 Nonmember

All information  
To register, or for more

# AGENDA

8:30 am - 9:00 am	<b>Registration &amp; Continental Breakfast</b>
9:00 am - 9:50 am	<b>Statistics on Securities Case Filings</b> <i>Moderator: Greg Markel</i> <i>Panelists: Sasha Aganin, Daniel LaGuardia, &amp; Jeroen Van Kwawegen</i>
9:50 am - 11:10 am	<b>Recent Developments In Securities Class Actions</b> <i>Moderator: Greg Markel</i> <i>Panelists: James E. Brandt, Robert Giuffra, Brad S. Karp, Maeve O'Connor &amp; Brian Pastuszenski</i>
11:10 am - 11:25 am	<b>Morning Coffee Break</b>
11:25 am - 12:30 pm	<b>An In-House Perspective on Managing Multiple Regulatory Investigations and Parallel, Private Securities Litigation</b> <i>Moderator: Gary P. Naftalis</i> <i>Panelists: David Futterman, Stephen Haber, Elaine Mandelbaum, &amp; Andrew Stemmer</i>
12:30 pm - 1:20 pm	<b>Networking Luncheon</b>
1:20 pm - 1:50 pm	<b>Keynote Address</b> <i>Professor John C. Coffee, Jr.</i>
1:50 pm - 3:05 pm	<b>Critical Issues in Shareholder Activism &amp; M&amp;A Litigation</b> <i>Moderator: William Prickett</i> <i>Panelists: Scott A. Barshay, Mark DiPaolo, Michele Johnson, Elena Norman &amp; Martin Seidel</i>
3:05 pm - 3:20 pm	<b>Afternoon Coffee Break</b>
3:20 pm - 4:10 pm	<b>How to Handle Regulatory Investigations</b> <i>Moderator: Lawrence J. Zweifach</i> <i>Panelists: Marc P. Berger, George S. Canellos, Linda Chatman Thomsen, &amp; Harry J. Weiss</i>
4:10 pm - 5:00 pm	<b>Blockchain, Bitcoin, and Cryptocurrency: Regulation, Enforcement &amp; Litigation</b> <i>Moderator: Adele Hogan</i> <i>Panelists: Daniel Dewar, Joshua Klayman</i>
5:00 pm - 6:00 pm	<b>Networking Reception</b>

# FACULTY

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**When registering, please refer to reg. code: BARSF3JJ18**

## Cancellations & Refunds

Credits and refunds are available provided cancellations are received by the City Bar in writing prior to the program. An administrative fee will be charged for all refunds.

## WAYS TO REGISTER

**Online:** [www.nycbar.org/cle](http://www.nycbar.org/cle) | **Phone:** 212-382-6663 | **Fax:** 212-869-4451

**Mail:** City Bar Center for CLE | 42 West 44<sup>th</sup> Street, NY, NY 10036